# Machine learning – Nonparametric techniques

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# Algorithm Overview

- K-Nearest Neighbour
- Locally Weighted Regression
- Radial Basis Functions
- Case Based Reasoning
- Short Overview Learning Vector Quantization

## Problem



### K-Nearest Neighbour (k-NN)

- Instances correspond to points in the ndimensional space R<sup>n</sup>
- Instance  $x = (a_1(x), a_2(x), \dots, a_n(x))$  $a_r(x)$ =value of the rth Attribute
- Distance is defined  $d(\mathbf{x}_i, \mathbf{x}_j) = \sqrt{\sum_{r=1}^{n} (\mathbf{a}_i(\mathbf{x}_r) - \mathbf{a}_j(\mathbf{x}_r))^2}$
- Returns most common Value

#### Example 1-NN



#### 1-NN will classifie $x_q$ as cross 5-NN as circle

## Distance-Weighted NN

- Giving greater weight to closer neighbours
- May weight at the inverse square of the distance
- $w_i = 1/d(x_q, x_i)^2$

### Remarks on NN

- Distance calculateted based on all attributes
  -> giving the attributes weight by change their Axes by Factor
- Efficient memory indexing (i.e. kd-tree)
  -> More efficiently at some additional cost in Memory

### Pro/Contra k-NN

- + Training is very fast
- + Does not loose any Information
- lazy method / slow at query time
- Irrelevant attributes considered (weight the attributes)

# Locally Weighted Regression

- Trying to approximate the target function in the Neighbourhood of x<sub>q</sub> using linear/quadratic functions or multilayer neural networks
- Minimizing the squared error over k nearest neighbours

# Locally Weighted Linear Regression

- adjust the values of slope and intercept to find the line that best predicts Y from X.
- minimize the sum of the squares of the vertical distances of the points from the line.



#### Pro/Contra LWR

- + approximates the real target function
- + Does not loose any information
- lazy method / slow at query time
- Irrelevant attributes may be considered
- very slow for complex functions



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NN / WNN / LWLR

### Radial Basis Functions

• 
$$\hat{f}(\mathbf{x}) = \omega_0 + \sum_{u=1}^{k} \omega_u K_u(d(\mathbf{x}_u, \mathbf{x}))$$

- x<sub>u</sub> is a instance from X; K<sub>u</sub> decreases as the distance d(x<sub>u</sub>,x) increases
- K = number of kernel functions included

### Radial Basis Function



output f(x)

linear parameters

Kernel functions

 $K_n(d(x_n,x)) = exp(-1/2 d(x_n,x)^2/\sigma^2)$ 

input layer

### Pro/Contra RBF

- + linear combination of local approximations leads to global approximation to the target f
- + Closely related to Distance Weighted Regression but eager not lazy
- how to choose the kernel functions?
- how to train the weights?

# **Case-Based Reasoning**

- Not representing in N-dimensional Space
- Solving Problems by reusing and combining portions of previous solutions
- Example the CADET system
- Assist in the conceptual design of simple mechanical devices such as water faucets
- Libary containing about 75 previous designs and design fragments
- Inctance is represented by describing structure and ist qualitative function

# Beispiel



# Short Overview Learning Vector Quantization

- Classes Represented by Prototyp Vectors
- Training points attract prototypes of the correct class (LVQ1)
- They may also disattract prototypes of the wrong classes (LVQ2)
- LVQ3 trys to fix some LVQ2 problems.

# LVQ Beispiel



# Benchmark on Spam mail

- Benchmark setup:
- Mixture of spam messages and messages received via a mailinglist ratio 481: 2412 = 16,6 % spam
- Algorithms: Naive Bayesian / TiMBL

# TiMBL

- Variant of k-NN
- Dosn't consider only the k-nearest but all instances at the k-closest distance
- This could lead to a high number of instances

#### TCR definition

• N<sub>L->L</sub> Number of legal mail classified as legal N<sub>L->S</sub> = legal mail classified as spam

$$Acc = \frac{N_{L \to L} + N_{S \to S}}{N_L + N_S} \qquad Err = \frac{N_{L \to S} + N_{S \to L}}{N_L + N_S}$$

• Weighting the legal mail  $\lambda$ -times more than spam messages

$$WAcc = \frac{\lambda \cdot N_{L \to L} + N_{S \to S}}{\lambda \cdot N_{L} + N_{S}} \qquad WErr = \frac{\lambda \cdot N_{L \to S} + N_{S \to L}}{\lambda \cdot N_{L} + N_{S}}$$

#### TCR definition

• The weighted baseline is the cost without any filter

$$WAcc^{b} = \frac{\lambda \cdot N_{L}}{\lambda \cdot N_{L} + N_{S}}$$
  $WErr^{b} = \frac{N_{S}}{\lambda \cdot N_{L} + N_{S}}$ 

• This leads to the Total Cost Ratio (TCR)

$$TCR = \frac{WErr^{b}}{WErr} = \frac{N_{S}}{\lambda \cdot N_{L \to S} + N_{S \to L}}$$

#### Results



Figure 1. TCR scores for  $\lambda$ =1

#### Results



#### More Results











Figure 4: *TCR* of k-NN for  $\lambda = 1$  and different k values (using *IG* for attribute weighting and  $1/d^3$  for distance weighting).

**Figure 5:** *TCR* of *k*-NN for  $\lambda = 9$  and different *k* values (using *IG* for attribute weighting and  $1/d^3$  for distance weighting).

# Other Examples

- Text recognition
- Image Scene Classification