# Data-Driven Estimation of Cardiac Electrical Diffusivity from 12-Lead ECG Signals

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#### Abstract

Diagnosis and treatment of dilated cardiomyopathy (DCM) is challenging due to a large variety of causes and disease stages. Computational models of cardiac electrophysiology (EP) can be used to improve the assessment and prognosis of DCM, plan therapies and predict their outcome, but require personalization. In this work, we present a data-driven approach to estimate the electrical diffusivity parameter of an EP model from standard 12-lead electrocardiograms (ECG). An efficient forward model based on a mono-domain, phenomenological Lattice-Boltzmann model of cardiac EP, and a boundary element-based mapping of potentials to the body surface is employed. The electrical diffusivity of myocardium, left ventricle and right ventricle endocardium is then estimated using polynomial regression which takes as input the QRS duration and electrical axis. After validating the forward model, we computed 9,500 EP simulations on 19 different DCM patients in just under three seconds each to learn the regression model. Using this database, we quantify the intrinsic uncertainty of electrical diffusion for given ECG features and show in a leave-one-patient-out cross-validation that the regression method is able to predict myocardium diffusion within the uncertainty range. Finally, our approach is tested on the 19 cases using their clinical

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ECG. 84% of them could be personalized using our method, yielding mean prediction errors of 18.7 ms for the QRS duration and 6.5° for the electrical axis, both values being within clinical acceptability. By providing an estimate of diffusion parameters from readily available clinical data, our data-driven approach could therefore constitute a first calibration step toward a more complete personalization of cardiac EP.

*Keywords:* Cardiac Electrophysiology, Statistical Learning, Lattice-Boltzmann Method, Uncertainty Quantification, Electrocardiogram

# 1 1. Introduction

#### <sup>2</sup> 1.1. Clinical Rationale

With around 17.3 million deaths per year (Mendis et al., 2011), the global 3 burden of cardiovascular diseases remains high and causes a significant social 4 and economic impact. According to recent estimates, about 2% of adults in 5 Europe (McMurray et al., 2012) and 2.4% of adults in the US (Roger et al., 6 2012) suffer from heart failure alone, with the prevalence rising to more than 10% among persons 70 years of age or older. One of the most common causes 8 of heart failure is dilated cardiomyopathy (DCM), a condition with weakened and enlarged ventricles and atria, leading to an ineffective pump function that 10 can directly and indirectly affect the lungs, liver, and other organ systems. 11 The prevalence of DCM amounts to around 0.9% of adults in the US (Ferri, 12 2013), and the disease is the leading indication for heart transplantation in 13 younger adults. Due to a large variety of individual causes and disease stages, 14 diagnosis and treatment of DCM remains an open challenge. 15

Cardiac arrhythmia, i.e. irregular electrical activity of the heart, occurs 16 frequently in heart failure patients, particularly in those with DCM (McMur-17 ray et al., 2012). But also beyond DCM, the prevalence of cardiac rhythm 18 disorders has increased significantly in the last decade following an improve-19 ment in patient care (Marcus et al., 2013). Depending on the kind of rhythm 20 disorder, which is commonly diagnosed using electrocardiography (ECG), the 21 treatment of arrhythmia includes drug therapies, radio frequency ablation 22 and the implantation of artificial pacemakers and cardioverter-defibrillators. 23 Unfortunately, around 30% of patients are non-responders to these invasive 24 treatments, and in up to 50% of the cases, recurrences are identified (Auric-25 chio et al., 2011). 26

As a result, tools for a more predictive assessment of cardiac electrophys-27 iology (EP) are needed. Computational assistance is not only required for 28 a superior patient management and diagnosis but could also benefit therapy 29 planning, outcome prediction and intervention guidance. While improved 30 risk stratification could help avoiding unnecessary surgeries, the potential of 31 optimizing invasive procedures, for instance by choosing optimal electrode 32 locations, can potentially lead to an increased success rate and fewer non-33 responders. For this purpose, computational models can be employed to 34 study and evaluate patient-specific electrophysiology in-silico. 35

# <sup>36</sup> 1.2. Technical Background: Computational Models of Cardiac Electrophysi <sup>37</sup> ology

#### 38 1.2.1. Models of Cardiac Action Potential

A wide range of computational models of cardiac EP with different biological scales and theoretical complexity has been proposed since the seminal work of Hodgkin and Huxley (1952). Especially in the last decade, the community has witnessed tremendous progress in modeling efforts (Clayton et al., 2011). Depending on their level of detail, EP models can be classified into three groups: Biophysical, phenomenological and Eikonal models.

Biophysical cellular models capture cardiac electrophysiology directly at 45 cell level by describing biological phenomena responsible for myocyte de-46 polarization and repolarization. More precisely, ionic interactions within the 47 cell and across the cell membrane (ion channels) are considered (Noble, 1962; 48 Luo and Rudy, 1991; Noble et al., 1998; Ten Tusscher et al., 2004) and lead 40 to complex equations, commonly one per molecular process. Although it has 50 been shown that biophysical models can reproduce different electrophysiolog-51 ical behaviors such as action potential restitution and conduction velocity, 52 the large amount of parameters limits their usage in clinical applications due 53 to the difficulty of personalization. 54

Cell models are then integrated at the organ level using reaction-diffusion 55 partial differential equations (PDEs). Two major categories can be distin-56 guished. While mono-domain approaches neglect interstitial effects and con-57 sider the myocardium as single excitable tissue (Coudière and Pierre, 2006), 58 bi-domain strategies superimpose intra- and extra-cellular domains and take 59 different electrical properties into account (Bourgault et al., 2009). In the 60 absence of external stimuli, mono-domain models have been shown to pro-61 duce almost identical results as their bi-domain counterparts (Potse et al., 62 2006). 63

Phenomonological models, historically the first models to be proposed by 64 FitzHugh (1961), work at a more macroscopic level. Derived from experi-65 mental observations, the action potential is described by a small number of 66 parameters with direct influence on its shape, disregarding the underlying 67 ionic interactions (Aliev and Panfilov, 1996; Mitchell and Schaeffer, 2003). 68 Having only few parameters with direct effect on measurable output facil-69 itates model personalization, and the lower computational cost when com-70 pared to biophysical models offers a reasonable compromise between model-71 ing capacity and performance. The distinction between mono-domain organ 72 level integration schemes such as in Aliev and Panfilov (1996); Fenton and 73 Karma (1998); Mitchell and Schaeffer (2003) and bi-domain approaches such 74 as in Clayton and Panfilov (2008) can be applied to phenomonological mod-75 els, too. Recent numerical advances based on Lattice-Boltzmann methods 76 (Rapaka et al., 2012) or Finite Element methods (Talbot et al., 2013) exploit 77 the massively parallel architecture of modern graphics processing units, and 78 allow near real-time performance and user interaction. 79

Eikonal models (Franzone et al., 1990; Keener and Snevd, 1998; Serme-80 sant et al., 2007) solely concentrate on the propagation of the electrical wave 81 to stimulate muscle activation. The formation as well as the shape of the 82 action potential in myocytes is neglected. Governed only by the anisotropic 83 speed of wave propagation, the local time of wave arrival throughout the 84 myocardium, can be computed very efficiently using fast marching methods 85 (Sethian, 1999; Wallman et al., 2012). While it has become possible to sim-86 ulate wave reentry phenomena with Eikonal models (Pernod et al., 2011), 87 capturing other complex pathological conditions such as arrhythmias, fibril-88 lations or tachycardia is more challenging. 89

#### 90 1.2.2. Model Personalization

In order to apply the aforementioned EP models in clinical settings, 91 patient-specific physiology has to be captured by personalized model param-92 eters. Finding those is challenging in the clinical workflow as the estimation 93 from patient data implies solving an inverse problem. In this context, the 94 forward model denotes the computation of the electrical wave propagation 95 from the heart to the point of measurement (catheter electrode, body sur-96 face), and the *inverse model* the back-projection of measurement data onto 97 the heart and the inference of model parameters (Gulrajani, 1998). 98

<sup>99</sup> Inverse problem techniques are computationally demanding because they <sup>100</sup> comprise an optimization problem and therefore require a large quantity of

forward model runs (Modre et al., 2002; Chinchapatnam et al., 2008; Dössel 101 et al., 2011). Alternatively, data-driven algorithms have been investigated 102 to tackle model personalization. Linking activation patterns with the result-103 ing cardiac motion that can be observed in clinical images, Prakosa et al. 104 (2013) train a machine-learning algorithm to estimate depolarization times 105 for cardiac segments from regional kinematic descriptors. Jiang et al. (2011) 106 apply statistical learning to map body surface potentials onto the epicardium. 107 Konukoglu et al. (2011) derive a surrogate EP model based on polynomial 108 chaos theory to personalize an Eikonal model. Wallman et al. (2014) infer 100 tissue conduction properties using Bayesian inference to be patient-specific. 110 The advantage of these statistical methods is the possibility to quantify un-111 certainty and to optimize the location of measurements. Machine learning 112 techniques could therefore constitute efficient strategies for model personal-113 ization. However, a sufficient sampling of the parameter space is needed for 114 these approaches to yield meaningful results. In this study, we aim to achieve 115 an estimation of model parameters only from sparse electrocardiogram data. 116

#### 117 1.2.3. Models of Electrocardiogram and Torso Potential

From the perspective of data acquisition, endocardial mapping (Serme-118 sant et al., 2009; Relan et al., 2011) facilitates the parameter estimation as it 119 provides dense potential measurements but it is pre-operatively often avoided 120 as it is invasive. A non-invasive alternative is to back-project electrical poten-121 tials measured at the body surface in the form of electrocardiograms (ECG), 122 to the epicardium. Considering the ill-posedness of the parameter estimation, 123 the use of body surface mapping (BSM) has been investigated (Dössel et al., 124 2011; Wang et al., 2011; Han et al., 2013). In contrast to standard 12-lead 125 ECG, BSM is however not yet widely available as diagnostic modality. 126

If body surface ECG data is used for parameter estimation, regardless 127 of the number of traces, a model of electrical potentials at the surface of 128 the torso is needed. In terms of the forward model, current approaches 129 employ both Finite Element (FEM) and Boundary Element (BEM) methods. 130 While the former intrinsically allow varying conductivity within and across 131 different organs (Li et al., 2007; Geneser et al., 2008; Liu et al., 2012), the 132 latter either assume constant isotropic conductivity throughout the entire 133 torso (Barr et al., 1977; Shou et al., 2009) or integrate additional surface 134 meshes delineating neighboring organs (Potse et al., 2009). Furthermore, in 135 a numerical study by Boulakia et al. (2010), decoupling the computation 136 of cardiac electrophysiology and body surface potentials has been shown to 137

# <sup>138</sup> preserve the shape of ECG features well.

#### 139 1.3. Aim of the Study

The personalization of computational EP models, i.e. the estimation 140 of patient-specific model parameters, remains challenging because of lack 141 of dense data and the ill-posedness of the inverse problem. We therefore 142 propose in this work to estimate EP model parameters from standard 12-lead 143 electrocardiograms (ECG) only using a data-driven method that provides 144 insight into estimation uncertainty. In particular, our method is based on 145 statistical learning and employs polynomial regression to map ECG features 146 to model parameters, instead of finding a solution of the inverse problem 147 numerically. The key contributions of this work are: 148

i A fast forward model of cardiac electrophysiology and electrocardiogram
 based on a Lattice-Boltzmann formulation and the boundary element
 method.

ii A novel data-driven approach to automatically and efficiently estimate
 heart electrical diffusivity from 12-lead ECG features.

iii The quantification of the intrinsic uncertainty of the inverse problem,
i.e. the uncertainty of myocardial diffusion given a set of ECG features,
through statistical learning.

<sup>157</sup> iv The evaluation of our estimation framework on 19 DCM cases.

<sup>158</sup> This study extends our previous work (Zettinig et al., 2013a) as follows:

i In a detailed quantitative evaluation and convergence analysis of the em ployed forward model and its parts, we show the influence of various
 model parameters on the ECG features.

ii Results of benchmark experiments allow insights on the computationalperformance of our approach.

iii A comparison with other statistical learning techniques justifies the choiceof multivariate polynomial regression.

iv For a quantitative evaluation of the diffusion estimation method, we use
 a significantly more extensive dataset of synthetic and real case data,

allowing to capture a bigger variety of individual physiologies.

# 169 2. Methods

This section presents the details of the proposed data-driven EP parameter estimation framework. Section 2.1 describes how a patient-specific



Figure 1: Steps of proposed forward model of ECG.

anatomical model is derived from clinical images. In Sec. 2.2, a fast forward model of cardiac electrophysiology, body surface potentials and electrocardiogram, as shown in Fig. 1, is detailed. Thereafter, Sec. 2.3 describes the proposed data-driven diffusion estimation procedure. Implementation details are reported in Sec. 2.4.

#### 177 2.1. Patient-Specific Model of Cardiac Anatomy

The complete workflow of anatomical model generation is depicted in 178 Fig. 2. First, we employ the framework presented in Zheng et al. (2008) 179 to automatically estimate, under expert guidance, heart morphology from 180 cine magnetic resonance images (MRI). For anatomical structure localiza-181 tion, the Marginal Space Learning (MSL) framework intuitively finds control 182 points representing important landmarks such as valves and ventricular sep-183 tum cusps using Haar- and steerable features. Then, a point-distribution 184 model of biventricular geometry is mapped to these control points and suc-185 cessively deformed according to learning-based boundary delineation through 186 a Probabilistic Boosting Tree (PBT). Using a manifold-based motion model, 187 the resulting surface meshes are tracked over the cardiac sequence such that 188 point correspondences are maintained. To form a closed surface mesh of the 189 biventricular myocardium, the segmented triangulations of the epicardium 190 and endocardia are fused together. The myocardium at end-diastole is fi-191 nally mapped onto a Cartesian grid with isotropic spacing and represented 192 as a level-set. 193

Based on the original segmentation meshes and point-to-point distances, we consider five domains in our anatomical model: The left and right ventricular septum, which mimics the His bundle and serves as initialization zone of the electrophysiological wave, the left and right endocardia mimicking the Purkinje system of fast electrical diffusivity, and finally the myocardium with
 slower diffusivity.



Figure 2: Workflow of anatomical model generation. See text for details.

As diffusion tensor imaging (DTI) is not yet clinically available (Wu et al., 200 2009), the rule-based strategy described by Bayer et al. (2012) is extended 201 as proposed by Zettinig et al. (2013b) to compute a generic model of my-202 ocardium fiber architecture. Below the basal plane, identified automatically 203 using the point correspondences of the initial triangulations, the fiber eleva-204 tion angle  $\alpha_f$  is assigned to all grid nodes. Defined as the angle with respect 205 to the short axis,  $\alpha_f$  varies linearly across the myocardium from -70° on the 206 epicardium to  $+70^{\circ}$  on the endocardium. Around the values, fiber directions 207 are fixed (longitudinal around the aortic valve, tangential otherwise), and 208 between the basal plane and the values finally interpolated first following 200 the myocardium surface, then transmurally (Moireau, 2008; Zettinig et al., 210 2013b). All interpolations throughout the myocardium rely on geodesic dis-211 tances and the Log-Euclidean framework (Arsigny et al., 2006). Figure 2 212 illustrates the myocardium fiber model and the electrophysiology zones. 213

A body surface triangulation is obtained using a manual, two-step procedure. First, the contours of the torso are outlined in coronal, sagittal and transverse slices of the survey MR image, and visualized together with the heart model. Second, a manual affine registration of an atlas of torso geometry to the contours is performed as illustrated in Fig. 3. The atlas is obtained from a full-body CT dataset of a subject within normal weight range.

#### 220 2.2. Fast Forward Model of Cardiac Electrocardiogram

Our forward model consists of three sequential steps described in the following sections (Fig. 1). First, we compute cardiac electrophysiology using the LBM-EP algorithm proposed by Rapaka et al. (2012). Second, we estimate extracellular potentials at the epicardium using an elliptic formulation



Figure 3: a) Sagittal image slice and manually outlined contour. b) Atlas of torso geometry before registration and c) after manual registration to body contours in coronal, sagittal and transverse image slices.

and project them to the torso by means of a Boundary Element Method technique. Ultimately, ECG traces are computed and ECG features automatically calculated.

# 228 2.2.1. LBM-EP: Lattice-Boltzmann Model of Myocardium Transmembrane 229 Potentials

<sup>230</sup> Cardiac EP is computed according to the phenomenological mono-domain <sup>231</sup> model proposed by Mitchell and Schaeffer (2003), which describes the nor-<sup>232</sup> malized transmembrane potential (TMP)  $v(t) \in [0, 1]$  throughout the my-<sup>233</sup> ocardium with the following equation:

$$\frac{\partial v}{\partial t} = J_{in} + J_{out} + J_{stim} + c\nabla \cdot \mathbf{D}\nabla v \tag{1}$$

Electrical diffusion is formulated anisotropically with the diffusion coefficient c and the anisotropy ratio  $\rho$ , defining the anisotropic diffusion tensor  $D = \rho \mathbf{I} + (1 - \rho) \mathbf{f} \mathbf{f}^{\mathsf{T}}$  with  $\mathbf{f}$  denoting the fiber direction. The EP zones as defined in Sec. 2.1 are assigned three different diffusion coefficients:  $c_{LV}$  and  $c_{RV}$  for the left and right endocardium, respectively (fast conducting Purkinje network), and  $c_{Myo}$  for the myocardium.

The model simplifies all ion channel interactions to only an inward current  $J_{in}$  and an outward current  $J_{out}$  (Eqs. 2-3). The former captures the fast acting ionic currents in the myocyte and depends on the gating variable h(t)



Figure 4: Four stages of the myocyte action potential and the relating parameters of the Mitchell-Schaeffer model.

that models the state of the ion channels.

$$J_{in} = \frac{h(t)v^2(1-v)}{\tau_{in}}, \text{ with } \frac{dh}{dt} = \begin{cases} \frac{1-h}{\tau_{open}}, & \text{if } v < v_{gate} \\ \frac{-h}{\tau_{close}}, & \text{otherwise} \end{cases}$$
(2)

$$J_{out} = \frac{-v}{\tau_{out}} \tag{3}$$

The time constants  $\tau_{in} \ll \tau_{out} \ll \tau_{open}, \tau_{close}$  are directly related to the 240 shape and duration of the action potential, allowing for personalization from 241 clinical data. As illustrated in Fig. 4,  $\tau_{close}$  relates to the action potential du-242 ration (APD), for which a linear transmural gradient as described by Glukhov 243 et al. (2010) is employed. The remaining model parameters, including the 244 change-over voltage  $v_{qate}$ , are obtained from literature (Mitchell and Schaef-245 fer, 2003) and kept constant throughout the myocardium. Table 1 lists all 246 fixed model parameters. 247

Table 1: Parameters used for the Mitchell-Schaeffer model (Mitchell and Schaeffer, 2003; Glukhov et al., 2010). Note that  $v_{gate}$  is dimensionless because v(t) is normalized to [0, 1].

Parameter	
$v_{gate}$	0.13
$ au_{in}$	$0.3 \mathrm{\ ms}$
$ au_{out}$	$6 \mathrm{ms}$
$ au_{open}$	$120 \mathrm{\ ms}$
$ au_{close_{endo}}$	$130 \mathrm{\ ms}$
$ au_{close_{epi}}$	$90 \mathrm{ms}$

The complex PDE (Eq. 1) is solved using the LBM-EP algorithm, an efficient Lattice-Boltzmann method, proposed by Rapaka et al. (2012). It should be noted, though, that the LBM-EP algorithm is generic and would allow any mono-domain cell model to be solved. In short, the method maintains a vector of distribution functions  $\mathbf{f}(\mathbf{x}) = \{f_i(\mathbf{x})\}_{i=1...7}$ , where  $f_i(\mathbf{x})$  represents the probability of finding a particle traveling along the edge  $\mathbf{e}_i$  of node  $\mathbf{x}$ . The seven indices correspond to the central position and the six principal connections on the Cartesian grid, respectively. Its computation is decomposed into two consecutive steps, namely the *collision* phase, yielding intermediate post-collision states  $f_i^*$  and the *streaming* phase, propagating the distribution functions along their corresponding edges:

$$f_i^* = f_i - A_{ij} \left( f_j - \omega_j v \right) + \delta t \,\omega_i (J_{in} + J_{out} + J_{stim}),\tag{4}$$

$$f_i(\mathbf{x} + \mathbf{e}_i, t + \delta t) = f_i^*(\mathbf{x}, t) \tag{5}$$

The collision matrix  $A = (A_{ij})$  relaxes the distribution function  $f_i$  toward 248 the local value of the potential v and is defined such that anisotropic fiber-249 related diffusion is taken into account. The weighting factors  $\omega_i$  are utilized 250 to emphasize the center position. We refer the reader to Rapaka et al. (2012)251 for further details. Using a forward Euler scheme, the gating variable h(t) can 252 easily be updated at every node. Eventually, the transmembrane potential 253  $v(\mathbf{x},t)$  is defined as the sum of the distribution functions:  $v(\mathbf{x},t) = \sum_i f_i(\mathbf{x},t)$ 254 and transferred to the range  $[-70 \,\mathrm{mV}, 30 \,\mathrm{mV}]$  using the scaling factors given 255 in Mitchell and Schaeffer (2003). The depolarization times  $T_d(\mathbf{x})$  are obtained 256 as the points in time when the potential first exceeds the change-over voltage: 257

$$T_d(\mathbf{x}) = \arg\min_t \left\{ v(\mathbf{x}, t) \ge v_{gate} \right\}$$
(6)

#### 258 2.2.2. Boundary Element Model of Torso Potentials

For the propagation of electrical potentials through the body, it is necessary to estimate cardiac extracellular potentials  $\phi_e(t)$  from the TMP v(t). To that end, we employ the elliptic formulation proposed by Chhay et al. (2012), which assumes a constant diffusion anisotropy ratio  $\lambda = c_i(\mathbf{x})/c_e(\mathbf{x})$ , with  $c_i$ and  $c_e$  denoting the intra- and extracellular diffusion coefficients respectively. Within the entire myocardium domain  $\Omega$ , the extracellular potential  $\phi_e$  is expressed as:

$$\phi_e(\mathbf{x}, t) = \frac{\lambda}{1+\lambda} \frac{1}{|\Omega|} \int_{\Omega} (v(\mathbf{y}, t) - v(\mathbf{x}, t)) d\mathbf{y}$$
(7)



Figure 5: **a)** For any given observation point in the thoracic domain, both torso and heart surfaces need to be integrated as defined in Eq. 8. **b)** After discretization, geometric coefficients of the P matrices in Eq. 10 require the evaluation of solid angles.

Next, we utilize a boundary element method (BEM) as described in Barr et al. (1977) and refined in Shou et al. (2009) to project the potentials  $\phi_e$  from the epicardium to the torso. Before, tri-linear interpolation is used to map  $\phi_e$  from the Cartesian grid back to the epicardial surface mesh. Following Green's second identity, the potential  $\phi(\mathbf{x})$  at any observation point  $\mathbf{x}$  of the thoracic domain is given as:

$$\phi(\mathbf{x}) = \frac{1}{4\pi} \int_{S_B} \phi_B \frac{\mathbf{r} \cdot \mathbf{n}}{\|\mathbf{r}\|^3} dS_B + \frac{1}{4\pi} \int_{S_H} \left[ \phi_e \frac{\mathbf{r} \cdot \mathbf{n}}{\|\mathbf{r}\|^3} + \frac{\nabla \phi_e \cdot \mathbf{n}}{\|\mathbf{r}\|} \right] dS_H \qquad (8)$$

Hereby, subscripts B denote the body surface and the potentials thereupon,  $S_H$  the epicardial heart surface. The surface normals **n** face outward of the domain under consideration (i.e. outward at the torso and inward at the epicardium). **r** is defined as the vector from **x** to the point of integration as illustrated in Fig. 5a. Note that Eq. 8 assumes that  $\nabla \phi_B = 0$ .

After placing the observation point  $\mathbf{x}$  only onto the two surfaces, discretization in triangular meshes, and reformulation in matrix form, a system of linear equations can be constructed (Barr et al., 1977):

$$P_{BB}\phi_B + P_{BH}\phi_e + G_{BH}\Gamma_H = 0 \tag{9}$$

$$P_{HB}\phi_B + P_{HH}\phi_e + G_{HH}\Gamma_H = 0 \tag{10}$$

Obtaining the geometric coefficients of matrices P and G requires the evaluation of two integrals. The integral  $\int (\mathbf{r} \cdot \mathbf{n}) / \|\mathbf{r}\|^3 dS$  in fact describes the solid angle  $d\Omega$  subtended at any observation point by a surface element dS (see Fig. 5b), and can be efficiently computed with the following closed
form formula (Van Oosterom and Strackee, 1983):

$$\tan \frac{d\Omega}{2} = \frac{\mathbf{a}_1 \cdot (\mathbf{a}_2 \times \mathbf{a}_3)}{\prod_{i=1}^3 \|\mathbf{a}_i\| + \|\mathbf{a}_1\| (\mathbf{a}_2 \cdot \mathbf{a}_3) + \|\mathbf{a}_2\| (\mathbf{a}_3 \cdot \mathbf{a}_1) + \|\mathbf{a}_3\| (\mathbf{a}_1 \cdot \mathbf{a}_2)} \quad (11)$$

Hereby, vectors  $\mathbf{a}_i$  denote the vectors from the observation point to the three vertices of the triangulated surface element dS. The surface-over-distance integral  $\int dS/\mathbf{r}$ , on the other hand, is solved using Gaussian quadrature. Canceling out the matrix  $\Gamma_H$ , which contains the gradients  $\nabla \phi_e$ , a precomputable transfer matrix that entirely depends on the geometry can be defined:

$$Z_{BH} = \left(P_{BB} - G_{BH}G_{HH}^{-1}P_{HB}\right)^{-1} \left(G_{BH}G_{HH}^{-1}P_{HH} - P_{BH}\right)$$
(12)

This allows to express body surface potentials by means of a simple matrix multiplication:  $\phi_B = Z_{BH} \phi_e$ .

#### 289 2.2.3. Electrocardiogram Calculation

From the potentials  $\phi_B$  at the torso, the standard Einthoven, Goldberger and Wilson leads (Chung, 1989) are computed. For the sake of simplicity, electrode positions were chosen to coincide with manually selected torso mesh vertex positions.

In this work, we focus on two meaningful ECG features. On the one hand, the duration of the QRS complex  $\Delta_{QRS}$  is dependent on the total time the electrical wave requires to propagate throughout the entire myocardium. On the other hand, the mean electrical axis angle  $\alpha$  is suited to detect imbalances between left and right ventricular wave conduction. From the computed ECG signals,  $\Delta_{QRS}$  and  $\alpha$  are derived as follows:

- For numerical stability, the QRS complex is detected using the depolarization times computed by LBM-EP. Assuming one full heart cycle, it is computed as follows:  $\Delta_{QRS} = \max_x T_d(\mathbf{x}) - \min_x T_d(\mathbf{x})$ .
- The electrical axis is computed using the Einthoven leads I and II:  $\alpha = \arctan\left[(2h_{II} - h_I)/(\sqrt{3}h_I)\right]$ , where the  $h_i$ 's are the sum of the automatically detected R and S peak amplitudes (minimum and maximum) in the respective leads during the QRS complex.



Figure 6: Schematic diagram of the data-driven backward ECG model.

#### 307 2.3. Data-Driven Estimation of Myocardium EP Diffusion

The forward model as described above can be seen as a dynamic system 308  $\mathbf{y} = f(\theta)$  with the diffusion coefficients  $\theta = (c_{Myo}, c_{LV}, c_{RV})$  as free parame-309 ters and the ECG features  $\mathbf{y} = (\Delta_{QRS}, \alpha)$  as outputs of the system. Estimat-310 ing diffusion parameters from ECG features therefore consists in evaluating 311 a function  $g(\mathbf{y})$  that approximates the inverse problem  $\theta = g(\mathbf{y}) \approx f^{-1}(\mathbf{y})$ , 312 as shown in Fig. 6. In contrast to solving the inverse problem numerically 313 using an optimization strategy such as Dössel et al. (2011), we propose to 314 learn the inverse function instead. 315

Configuration	Diffusion coefficients $(mm^2/s)$			
	$c_{Myo}$ $c_{LV}$ $c_{RV}$			
$F_1$	100	4,900	4,900	
$F_2$	100	100	4,900	
$F_3$	100	4,900	100	

Table 2: Diffusion coefficient configurations for normalization forward runs.

The ECG features  $\Delta_{QRS}$  and  $\alpha$  vary significantly within the population, even in healthy subjects, due to a variety of factors including heart morphology and position. To cope with this geometrical variety, our algorithm scouts the parameter space using three forward model runs with the predefined diffusion coefficients listed in Tab. 2. The resulting ECG features are then used for an effective normalization scheme, intrinsically considering geometrical features of a particular patient:

• Configuration  $F_1$  contains nominal EP diffusion parameters and thus entails a normal wave propagation. Provided the same diffusivity, the electrical wave will take longer to propagate through the entire myocardium in larger hearts, which is why we use  $\Delta_{QRS_{F_1}}$  to normalize the QRS duration:  $\overline{\Delta_{QRS}} = \Delta_{QRS} / \Delta_{QRS_{F_1}}$ . • The other two configurations contain extremely low LV and RV diffusivity (LBBB-like scenario:  $F_2$ ; RBBB-like scenario:  $F_3$ ). The obtained electrical axis parameters  $\alpha_{F_2}$  and  $\alpha_{F_3}$  scout the patient-specific space of axis deviation, because we assume that the vast majority of forward model runs with arbitrary physiological diffusion coefficients will yield an electrical axis between them:  $\alpha_{F_2} \leq \alpha \leq \alpha_{F_3}$ . Therefore, we perform normalization as follows:  $\overline{\alpha} = (\alpha - \alpha_{F_2})/(\alpha_{F_3} - \alpha_{F_2})$ .

Note that our normalization scheme does not lead to the same numerical ranges of  $\overline{\Delta_{QRS}}$  and  $\overline{\alpha}$ . The purpose of the normalization is only to compensate for inter-patient variability; the regression framework will cope with scaling of the input values. Finally, multivariate polynomial regression of degree N is employed to learn the model  $\theta = g(\overline{\Delta_{QRS}}, \overline{\alpha})$ . One regression function of the form

$$g(\overline{\Delta_{QRS}},\overline{\alpha}) = \sum_{i=0}^{N} \sum_{j=0}^{N} \beta_{i,j} \left(\overline{\Delta_{QRS}}\right)^{i} (\overline{\alpha})^{j} + \varepsilon$$
(13)

is learned for each diffusivity parameter independently,  $\mathbf{g} = (g_{Myo}, g_{LV}, g_{RV})$ . During training, the regression coefficients  $\beta_{i,j}$  are determined using QR decomposition such that the data is explained with minimal error  $\varepsilon$ . During testing, the diffusivity parameters are estimated for unseen data using measured and normalized ECG features:

$$\begin{pmatrix} c_{\hat{M}yo} \\ c_{\hat{L}V} \\ c_{\hat{R}V} \end{pmatrix} = \begin{bmatrix} g_{Myo} \\ g_{LV} \\ g_{RV} \end{bmatrix} \begin{pmatrix} \overline{\Delta_{QRS}} \\ \overline{\alpha} \end{pmatrix}$$
(14)

#### 346 2.4. Implementation

The strictly local stream-and-collide rules of the LBM-EP algorithm are inherently node-wise and can be implemented very efficiently in a single kernel on a GPU architecture. We use NVIDIA CUDA<sup>1</sup>, version 5.5, as our development environment. As shown by Georgescu et al. (2013), the simulation of transmembrane and extracellular potentials for a complete heart cycle on a Cartesian grid with an isotropic resolution of 1.5 mm only requires

<sup>&</sup>lt;sup>1</sup>Compute Unified Device Architecture, http://developer.nvidia.com/ cuda-toolkit

 $\approx 3$  seconds on an NVIDIA GeForce GTX 580 graphics card. The boundary element solver relies on the C++ Eigen library (Guennebaud et al., 2010). Training of and prediction with the regression model was performed using the MATLAB and Statistics Toolbox Release 2013b (MathWorks, Inc.)

#### 357 3. Experiments and Results

Before evaluating the method, clinical acceptance criteria were defined. In 358 a study by Surawicz et al. (2009), the normal range for QRS duration in adult 359 males was found to be between 74 and 114 ms (average 95 ms). Investigating 360 intra-patient variability in electrocardiograms, Michaels and Cadoret (1967) 361 defined the maximum permissible day-to-day difference in QRS duration as 362 20 ms. We assume predictions of QRS durations to be successful if within this 363 range. The electrical axis, which is dependent on age and body physiology, is 364 considered to be normal within  $-30^{\circ}$  and  $90^{\circ}$ . A rough rule-based diagnosis 365 scheme is often applied in clinical routine. Left axis deviation, for instance, 366 is present if lead I is positive and aVF is negative. Because such a scheme 367 divides the QRS front plane in sectors of no less than  $30^{\circ}$ , prediction of the 368 electrical axis was assumed to be successful if within this range. 369

#### 370 3.1. Evaluation of the Proposed Forward Model

A quantitative evaluation of the proposed forward model was carried out to understand model behavior but also to identify the optimal numerical parameters. For an extensive analysis of the LBM-EP solver, the reader is referred to Rapaka et al. (2012). The following sections therefore focus on the mapping of cardiac potentials onto the body surface and on the impact of EP parameters on the computed ECG.

3.1.1. Quantitative Evaluation and Convergence Analysis of Torso Mapping 377 For the evaluation of the boundary element mapping from the epicardium 378 to the torso, we chose a setup where an analytical solution to Eq. 8 exists. 379 Both epicardium and torso were assumed to be concentric spheres, with radii 380  $r_H$  for the heart sphere and  $r_B$  for the body sphere. If not stated otherwise, 381 Gaussian quadrature of order 37 was used. Homogeneous material between 382 the two surfaces was also assumed. Using a spherical coordinate system with 383  $\theta$  as the polar angle to the Cartesian z-direction and  $\varphi$  as the azimuth angle 384 in the x-y-plane (Fig. 7a), we defined the extracellular potentials on the 385



Figure 7: a) Definition of spherical coordinate system. b) Heart sphere (green) and body sphere (semi-transparent) with mapped potentials  $\phi_B$ .

heart surface:  $\phi_e(\theta, \varphi) = \cos(\theta)$  mV. Then, the potentials on the body  $\phi_B$ were given as:

$$\phi_B(\theta,\varphi) = \frac{3}{2}\cos(\theta)\frac{1}{\frac{r_H}{r_B} + \frac{r_B^2}{2r_H^2}} \quad \text{mV}$$
(15)

Fig. 7b illustrates the mapped potentials on the body sphere. In the 388 reported experiments,  $r_H = 100 \,\mathrm{mm}$  to roughly represent the human heart. 389 Figure 8a reports computed and analytical body potentials throughout a 390 body sphere with  $r_B = 300 \,\mathrm{mm}$ . Mapping to different body spheres (see 391 Tab. 3 for mesh resolution details) showed that the algorithm was able to 392 correctly compute the potentials at various distances (Fig. 8b). Absolute 393 errors were on average  $4.1 \cdot 10^{-5} \pm 1.4 \cdot 10^{-4}$  mV (mean  $\pm$  standard deviation), 394 far below the clinical acceptance threshold. 395

Table 3: Body spheres used for torso mapping evaluation. See text for details.

Radius $r_B$ (mm)	Number of Vertices	Avg. Edge Length $\bar{l}$ (mm)
150	3,482	10.5
300	3,482	21.0
400	3,482	28.0
600	3,482	42.0

A convergence analysis with respect to the mesh resolution (Fig. 8c) indicated that with around 1,500 mesh vertices (average edge length 31.7 mm for  $r_B = 300 \text{ mm}$ ) the ratio between BEM-based and analytical solutions is 99.70%, which relates to an absolute error of on average  $9.3 \cdot 10^{-4} \text{ mV}$ and is below the sensitivity of ECG sensors. For subsequent experiments, we therefore choose a similar resolution of 30 to 35 mm for the torso mesh. Similarly, the relative error also converged with increasing order of Gaussian quadrature as expected (Fig. 8d, experiments conducted with highest resolution mesh). Yet, low orders already reached a high degree of precision. The following experiments were therefore carried out with an order of 6, which showed to be a good compromise between accuracy and runtime performance (more than  $6 \times$  as fast as highest order under consideration).



Figure 8: Evaluation of BEM torso mapping. **a)** Potentials throughout a body sphere with  $r_B = 300 \text{ mm}$  and **b)** potentials at the pole ( $\theta = 0$ , location of maximum error) for various body spheres (Tab. 3) matched the analytical solution. **c)** Ratio between BEM-based and analytical solution for various mesh resolutions and average edge lengths  $\bar{l}$ , **d)** and for various orders of Gaussian quadrature, showing that the method converges rapidly with increasing mesh resolution and order of quadrature.

#### 408 3.1.2. Parameter Evaluation of Complete Forward Model

<sup>409</sup> Understanding the input parameters and output feature space of a given <sup>410</sup> model is crucial before applying machine learning techniques and performing <sup>411</sup> predictions. Therefore, we evaluated the influence of the most important <sup>412</sup> parameters of our forward model on the ECG features under consideration. <sup>413</sup> On a representative patient case, the dependence of QRS duration  $\Delta_{QRS}$  and <sup>414</sup> electrical axis  $\alpha$  on diffusivity c, action potential duration (APD; governed by <sup>415</sup>  $\tau_{close}$ ) and fiber elevation angle  $\angle f$  was studied. In the following experiments, <sup>416</sup> each of these parameters was varied, while the other parameters were fixed <sup>417</sup> to their nominal value as given in Tab. 1-2.



Figure 9: Influence of diffusivity coefficients on ECG features. **a)** QRS duration was linearly dependent on myocardium diffusion when LV and RV diffusion were fixed. Electrical axis varied little except for very low myocardium diffusion. **b)** Electrical axis was almost linearly dependent on LV/RV diffusion when myocardium diffusion was fixed. QRS duration varied little except for low LV or RV diffusion ( $< 1,500 \text{ mm}^2\text{s}$ , borders of plot).

First, the forward model was run with myocardium diffusion  $c_{Myo}$  ranging from 100 to 1,000 mm<sup>2</sup>/s, LV and RV diffusivity were fixed. As illustrated in Fig. 9a, and as expected, the QRS duration showed linear dependence on  $c_{Myo}$ . After an initial drop, the electrical axis stabilized when  $c_{Myo} > 400$ mm<sup>2</sup>/s. In total, the range of  $\alpha$  in this experiment accounted for 92.9°. This behavior was not surprising, because the electrical axis was entirely governed by LV and RV endocardium diffusion at very low  $c_{Myo}$  values. As  $c_{Myo}$  increased, the effect of the Purkinje model was complemented by the fast myocardial diffusivity and the electrical axis value depended mostly on the geometrical configuration of the heart, i.e. its shape and relative position in the torso.

 $c_{Myo}$  was then fixed while the endocardial diffusion  $(c_{LV}, c_{RV})$  was varied 429 between 100 and 4,900 mm<sup>2</sup>/s with the constraint  $c_{LV} + c_{RV} = 5,000 \text{ mm}^2/\text{s}$ . 430 As illustrated in Fig 9b, an almost linear dependence of the electrical axis on 431 endocardial diffusion was observed. This was also expected, as diffusion dif-432 ferences in the Purkinje fibers intuitively change the depolarization pattern. 433 When  $c_{LV}$  and  $c_{RV}$  were similar  $(|c_{LV} - c_{RV}| < 1,000 \,\mathrm{mm^2/s})$ , the depolar-434 ization was controlled by the Purkinje system, and a small range of 8.6 ms 435 was observed for the QRS duration. Either  $c_{LV}$  or  $c_{RV}$  approaching a bundle 436 branch block scenario and thus becoming closer to myocardial diffusion, the 437 QRS duration increased. The total range of  $\Delta_{QRS}$  was found to be 35.8 ms. 438 Next, the influence of different spatial distributions of  $\tau_{close}$  parameters, 439 which control action potential duration, was investigated. The employed lin-440 ear transmural gradient of action potential duration (Glukhov et al., 2010) 441 was compared to a model with M-cells as described by Wilson et al. (2011). 442 Hereby, we assumed M-cells to be located in the center of the myocardial 443 wall,  $\tau_{close_{mid}} = 110\% \cdot \tau_{close_{endo}}$  and performed linear interpolation between 444 endocardium and M-cells, and between M-cells and epicardium to obtain a 445 spatially varying map of  $\tau_{close}$  values. For both scenarios (linear gradient 446 throughout the wall and the M-cell model), we defined the parameter  $r_T$ 447 as the ratio between the APD parameters at epicardium and endocardium: 448  $r_T = \tau_{close_{epi}}/\tau_{close_{endo}}$ . Figure 10a shows that the difference in the considered 449 ECG features between the linear gradient model and the used M-cell model 450 was marginal. This result was not surprising as  $\tau_{close}$  controls cardiac repo-451 larization, whereas the QRS duration and electrical axis depend mostly on 452 cardiac depolarization. Furthermore, we also analyzed how regional differ-453 ences in APD can influence the ECG parameters. To that end, we created a 454 base-to-apex gradient by defining an additional ratio  $r_{BA} = \tau_{close_{base}} / \tau_{close_{apex}}$ . 455 In Fig. 10b, the resulting variation in  $\Delta_{QRS}$  and  $\alpha$  is illustrated. In this case, 456 QRS duration was, as expected, only minimally affected (range 0.15 ms) but 457 the electrical axis varied by  $13.6^{\circ}$ . 458

Finally, we investigated the effect of the fiber model on the ECG features. According to the study by Lombaert et al. (2012), fiber angles in human physiology range on average from about 50° to 80° on the epicardium. As shown in Fig. 11, the variation of  $\Delta_{QRS}$  in that range was small, with a range of



Figure 10: Influence of action potential duration  $(\tau_{close})$  on ECG features. **a)** The difference between the used linear gradient model and an M-cell model is negligible; the transmural ratio does not seem to significantly influence QRS duration and electrical axis. **b)** A downward gradient (base to apex) only causes slight variation in ECG features, showing that regional differences in  $\tau_{close}$  cause low variation in ECG features. See text for details.

8.2 ms. The electrical axis varied by 30.8° as the electrical activation pattern
was modified due to the anisotropic diffusivity. However, that variation was
still within the clinical range.

Altogether, these finding – linear dependence of  $\Delta_{QRS}$  on myocardial 466 diffusion, and linear dependence of  $\alpha$  on LV/RV endocardial diffusion – con-467 firmed the assumptions made in Sec. 2.2.3 and justified the selection of the 468 two features for the estimation of cardiac electrical diffusivity. However, as 469 expected, the experiments also showed that multiple combinations of  $c_{Myo}$ , 470  $c_{LV}$  and  $c_{RV}$  can yield the same set of ECG features. The resulting uncer-471 tainty of diffusion parameters given a set of ECG features will be quantified 472 in Sec. 3.2.2. Because variation for different APD distributions and fiber el-473 evation angles was found to be relatively low or cannot be directly measured 474



Figure 11: Influence of fiber elevation angle  $\alpha_f$  within physiological range on ECG features (the anatomical models are generated with  $\alpha_f$  on the endocardium and  $-\alpha_f$  on the epicardium.)

<sup>475</sup> in-vivo at the time of this study, we focused on the estimation of diffusion <sup>476</sup> coefficients, keeping the other parameters at their nominal value.

#### 477 3.1.3. Analysis of Computational Efficiency

Using one representative patient case, computational efficiency was ana-478 lyzed on a system with a 16-core Intel Xeon 64-bit CPU at 2.4 GHz and an 479 NVIDIA GeForce GTX 580 graphics card. As described by Zettinig et al. 480 (2013b), the computational times of image preparation and anatomical model 481 creation, which has to be computed only once per patient, amounted to a 482 total of 81.2 seconds. Table 4 reports the runtimes of the LBM-EP algo-483 rithm for a full heart cycle on differently spaced Cartesian grids (Georgescu 484 et al., 2013). The projection of the extracellular potentials to the torso and 485 the calculations of the ECG traces are simple matrix operations. Hence, the 486 evaluation of the complete forward model could be done in less than 3 sec-487 onds for a grid with an isotropic resolution of 1.5 mm. As the evaluation of 488 a polynomial function is almost immediate, the estimation of cardiac diffu-489 sivity required less than 10 seconds because of the three forward runs for the 490 purpose of normalization.

Table 4: Full heart cycle runtimes of the LBM-EP algorithm for different grid spacings (Georgescu et al., 2013)

Grid Spacing	GPU Runtime
1.5 mm	2.8 sec.
0.7 mm	21.7 sec.

491



Figure 12: Estimated diffusion standard deviation (SD) in % of total SD for known electrical axis and QRS duration. The highest uncertainty is found in the healthy range of parameters (center of plots).

#### 492 3.2. Evaluation of the Proposed Data-Driven Estimation Framework

#### 493 3.2.1. Experimental Protocol

In this study, datasets of 19 patients with dilated cardiomyopathy (DCM) 494 and a QRS duration of at least 120 ms were used. For all of them, an anatom-495 ical model was created based on cine magnetic resonance images (MRI) as 496 described in Sec. 2.1. Thereafter, 500 EP simulations were computed for 497 each patient on a 1.5 mm-isotropic Cartesian grid, accounting for a total of 498 9,500 forward model runs. Diffusivity coefficients were uniformly sampled 499 between 50 mm<sup>2</sup>/s and 5,000 mm<sup>2</sup>/s under the constraints  $c_{Myo} \leq c_{LV}$  and 500  $c_{Myo} \leq c_{RV}$ . 501

## <sup>502</sup> 3.2.2. Uncertainty Analysis in Cardiac Diffusion Parameters

Before training the regression model, the intrinsic uncertainty of the ECG 503 inverse problem under our forward model was quantified using the entire syn-504 thetic EP database (9,500 simulations). To minimize the effects of geometry, 505 the analysis was conducted with normalized ECG parameters. All computed 506  $(\Delta_{QRS}, \overline{\alpha})$  tuples were grouped in 20 × 20 bins, and for each bin, the local 507 standard deviation of the diffusion coefficients  $c_{Myo}, c_{LV}$  and  $c_{RV}$  was calcu-508 lated. Table 5 reports the total standard deviation in the entire dataset, the 509 average local standard deviation, and the uncertainty defined as their ratio. 510 As illustrated in Fig. 12, which shows the uncertainty for each bin, the high-511 est variation can be found in the healthy range of QRS duration and electrical 512 axis (up to 180%). The reported high uncertainties, especially for  $c_{LV}$  and 513  $c_{RV}$ , reflects the ill-posed nature of the ECG inverse problem if only QRS 514

duration and electrical axis are employed to personalize the model. That information will be useful when evaluating the accuracy of the personalization techniques in the next sections.

Table 5: Total, and average local (bin-wise) standard deviation, and the uncertainty defined as their ratio for all three diffusion coefficients.

	$c_{Myo}$	$c_{LV}$	$c_{RV}$
Total SD $(mm^2/s)$	1,482	1,095	1,191
Avg. local SD $(mm^2/s)$	191	556	537
Uncertainty	12.9%	50.7%	45.1%

#### 518 3.2.3. Evaluation on Synthetic Data



Figure 13: Analysis of polynomial regression degree on prediction accuracy. Average testing errors of leave-one-patient-out cross-validation in **a**) diffusion space, **b**) QRS duration  $\Delta_{QRS}$ , and **c**) electrical axis  $\alpha$ . The regression model overfits at degrees  $\geq 4$ , as the prediction errors in ECG feature space increase again.

The proposed machine-learning personalization procedure was evaluated 519 using a leave-one-patient-out cross-validation on the database, i.e. the re-520 gression models were trained using a subset of 18 patients and tested with 521 the remaining one, for each of the 19 DCM cases respectively. Next, the 522 average testing errors in the diffusion (parameter) space were calculated. To 523 evaluate the accuracy of the regression model in the observable space of ECG 524 parameters,  $\Delta_{QRS}$  and  $\alpha$  were computed according to the estimated diffusiv-525 ity parameters and quantitatively compared with the known ground truth. 526 In order to analyze the required dimensionality of the polynomial regression 527 model, a cross-validation procedure with regression degrees ranging from 1 528 to 8 was performed. While linear or quadratic regression models failed to 529



Figure 14: Regressed surface for each diffusion parameter. The highlighted area (blue contour) corresponds to the normalized parameter range in Fig. 12. As expected, all three parameters are dependent on the QRS duration, and the electrical axis has little effect on  $c_{Myo}$ . Right axis deviations correctly lead to  $c_{RV} < c_{LV}$ , and vice versa. Beyond the normalization range, all polynomials may drop below zero (non-physical diffusivity) for certain parameter combinations. See text for details.

capture the ECG problem, as shown in Fig. 13, the model started to overfit
at degrees higher than four, leading to again increasing prediction errors in
ECG space. Thus, the best option is to use cubic regression.

In Tab. 6, the final regression coefficients  $\beta_{i,j}$  according to Eq. 13, trained 533 using the entire synthetic dataset, are given. In Fig. 14, the regressed sur-534 faces for each of the diffusion parameters are visualized. Myocardial diffusion 535  $c_{Myo}$  is, as expected, almost exclusively dependent on the QRS duration. In-536 terestingly, for long QRS durations ( $\overline{\Delta_{QRS}} \ge 1.7$ ),  $c_{RV}$  decreases for left axis 537 deviations while still remaining higher than  $c_{LV}$ . Nevertheless, axis devia-538 tions on both sides are correctly captured, i.e.  $c_{RV}$  is clearly smaller than 539  $c_{LV}$  for deviations to the right, and  $c_{LV}$  is slightly smaller than  $c_{RV}$  for de-540 viations to the left. Beyond the highlighted normalization range, all three 541 polynomials may drop below zero for certain combinations of QRS duration 542 and electrical axis, yielding non-physical diffusion coefficients. A test after 543 the prediction can be used to discard these cases. The errors in estimated 544 diffusion reported in Tab. 7 were obtained using this model. The relative 545 errors in % of the total standard deviation of the dataset were in the same 546 range as the estimated uncertainty of the inverse problem (Sec. 3.2.2). The 547 proposed regression model was thus able to predict up to the intrinsic un-548 certainty of the problem. Furthermore, prediction errors were significantly 549 higher when no normalization was applied, as illustrated in Tab. 7, suggest-550 ing the proposed model-based normalization procedure was able to partially 551 compensate for inter-patient geometry variability. 552

In addition to the diffusion parameters used in the forward model  $(c_{Myo})$ ,

 $c_{LV}$  and  $c_{RV}$ ), we tested how well the ratio between  $c_{LV}/c_{RV}$  can be reconstructed. Low prediction errors as listed in Tab. 7 were expected in light of the experiments carried out in the previous section, which showed a linear dependency of the electrical axis on  $c_{LV}$  when  $c_{LV} + c_{RV}$  is kept constant. However, the ratio alone is not sufficient for a complete model personalization as the two values are needed.

Table 6: Learned regression coefficients  $\beta_{i,j}$  rounded to 5<sup>th</sup> decimal position (Eq. 13,  $\Delta_{QRS}$  and  $\alpha$  to be given in seconds and radians, respectively). Full double-precision coefficients are available from supplementary material.

i	j	$g_{Myo}$	$g_{LV}$	$g_{RV}$
3	0	-4397.72303	-2224.80345	-2372.28284
2	1	947.10794	-217.53110	-111.83210
2	0	20619.61231	8748.58856	10659.98012
1	2	-339.47629	884.12470	-1849.11181
1	1	-2138.03900	-556.40419	1168.22703
1	0	-31323.62564	-13516.54750	-15836.31353
0	3	-125.51341	-238.65933	513.65578
0	2	688.60647	-215.02537	1004.99532
0	1	1070.11229	585.32229	-900.63528
0	0	15662.21934	9621.33473	10454.35893

Table 7: Diffusion space prediction errors on the synthetic dataset, absolute in mm<sup>2</sup>/s and relative in % of the total standard deviation. In addition to the three parameters, also the ratio between  $c_{LV}$  and  $c_{RV}$  was tested.

	$c_{Myo}$	$c_{LV}$	$c_{RV}$	$c_{LV}/c_{RV}$
With Normalization	356	451	533	
	24.0%	41.2%	44.7%	21.3%
Without normalization	571	540	597	
	38.5%	49.3%	50.0%	23.9%

Comparison Against Nominal Values. Table 8 reports the average absolute
 errors in ECG feature space for forward model simulations with nominal dif fusion parameters from literature and parameters obtained with the proposed
 regression framework. Likewise, the error distributions are shown in Fig. 15.
 Calibrated simulations using our framework were not only in the range of

clinical variability but also significantly (t-test p-value < 0.001) more pre-565 cise than those obtained with nominal diffusivity values. In addition, our 566 predictions were on average centered around the ground truth QRS dura-567 tion (average bias: +0.7 ms), the  $\Delta_{ORS}$  calculated with default parameters 568 was on average  $28.9 \, ms$  too short. As the default parameters correspond to 569 healthy physiology whereas conduction abnormalities cause prolonged QRS 570 durations, this result was expected. Using our diffusion estimation frame-571 work may thus be preferable to using nominal parameters when only ECG 572 is available. 573



Figure 15: QRS duration and electrical axis error distributions for ECG simulations with nominal, NEWUOA-estimated and predicted diffusivity parameters using a machine learning technique. On each box, the central mark is the median, the edges of the box are the quartiles, and the whiskers extend to the most extreme data points not considered outliers. The range between the whiskers covers approximately 99.3% of the normally distributed data.

Table 8: Average absolute ECG feature space errors for ECG simulations with nominal, NEWUOA-estimated and predicted diffusivity parameters using a machine learning technique.

1				
Diffusivity	$\Delta_{QRS}$	[ms]	$\alpha  [\text{deg}]$	
Nominal Parameters	33.7	$\pm 15.7$	53.2	$\pm 33.8$
NEWUOA Optimization	7.4	$\pm 11.3$	16.1	$\pm 31.4$
MARS	4.6	$\pm 5.1$	9.8	$\pm 23.0$
Gradient Boosting	4.9	$\pm 5.8$	9.5	$\pm 19.8$
Polynomial Regression	4.8	$\pm 6.0$	8.9	$\pm 19.7$

Comparison against Alternative Machine Learning Techniques. In this study, 574 the predictive power of the proposed polynomial regression framework is com-575 pared against two non-parametric non-linear methods: multivariate adaptive 576 regression splines (MARS) and Gradient Boosting, as described in Hastie 577 et al. (2009). The former, MARS, is a non-parametric regression method 578 with explicative capabilities, which intuitively extends linear regression by 579 fitting splines to the predictors to capture data non-linearities and variable 580 interactions. For our evaluation, the ARESLab toolbox (Jekabsons, 2011) 581 was used. Gradient Boosting, on the other hand, is based on an ensemble 582 of weak prediction models, in our case 100 decision trees (LSBoost function 583 of MATLAB). Tab. 9 lists the diffusion space errors for all tested machine 584 learning algorithms. The errors in ECG feature space can be found in Tab. 8 585 and Fig. 15. Both approaches yielded very similar diffusion error distribu-586 tions compared to the proposed polynomial regression framework. Also the 587 error distributions of  $\Delta_{QRS}$  and  $\alpha$  obtained by MARS and Gradient Boosting 588 were similar to those obtained by polynomial regression. 589

Table 9: Diffusion space prediction errors on the synthetic dataset, relative in % of the total standard deviation, for the tested machine learning algorithms.

	$c_{Myo}$	$c_{LV}$	$c_{RV}$
MARS	23.2%	40.2%	43.7%
Gradient Boosting	24.3%	46.1%	49.1%
Polynomial Regression	24.0%	41.2%	44.7%

Comparison against an Alternative Inverse-Problem Method. We compared 590 the performance of the regression framework with a personalization approach 591 that is based on NEWUOA (Powell, 2006, 2008), a gradient-free inverse prob-592 lem method. An algorithm similar to the approach proposed by Neumann 593 et al. (2014) is followed. The diffusion coefficients  $c_{Muo}^0$ ,  $c_{LV}^0$ ,  $c_{RV}^0$  are initial-594 ized with parameters associated with healthy EP (Tab. 2, configuration  $F_1$ ). 595 The initial step size is set to  $500 \text{ mm}^2/\text{s}$ . Cardiac diffusivity is then estimated 596 using NEWUOA such that both the QRS durations and the electrical axis 597 match: 598

$$(c_{Myo}^{*}, c_{LV}^{*}, c_{RV}^{*}) = \arg\min_{c_{Myo}, c_{LV}, c_{RV}} C \left[ f_{\Delta_{QRS}, \alpha} \left( c_{Myo}, c_{LV}, c_{RV} \right) \right]$$
(16)

<sup>599</sup> Hereby,  $f_{\Delta_{QRS},\alpha}(\cdot)$  denotes the ECG features obtained by running the EP forward model. In the cost function C, the values  $\Delta_{QRS}^m$  and  $\alpha^m$  are the measured QRS duration and electrical axis, respectively, and the parameter  $\lambda = 0.1$  accounts for the different orders of magnitude between QRS duration (in seconds) and electrical axis (in radians):

$$C(\Delta_{QRS}^{i}, \alpha^{i}) = |\Delta_{QRS}^{m} - \Delta_{QRS}^{i}| + \lambda |\alpha^{m} - \alpha^{i}|$$
(17)

As shown in Tab. 8, the errors in  $\Delta_{QRS}$  and  $\alpha$  calculated using the NEWUOApersonalized forward model were higher compared to the data-driven estimation framework. In addition, the obtained values for the electrical axis were less centered around the ground truth (average bias: 8.1°). Note that the table lists higher standard deviations (11.3 ms and 31.4° for  $\Delta_{QRS}$  and  $\alpha$ , respectively) than Fig. 15 suggests because of numerous outliers (16%) in the NEWUOA predictions.

Because the optimizer was sensitive to local minima, NEWUOA typically required up to 50 iterations to converge to a stable optimum. Thus, the total time for optimization using a fully optimized version of the code would take up to 2.5 min, while our approach requires only 10 s to calculate the three forward simulations for the normalization. Our approach was therefore not only at least  $15 \times$  more computationally efficient but also yielded more predictive diffusivity parameters.

#### 618 3.2.4. Evaluation on Real DCM Cases

Finally, we evaluated the machine-learning personalization with the clin-619 ical ECG data which were available for all 19 DCM cases. The trained re-620 gression models from the cross-validation were employed to estimate diffusion 621 coefficients based on measured QRS duration and electrical axis. In three 622 cases, the prediction was not successful and yielded negative diffusivity for at 623 least one of the diffusion parameters because the measured electrical axis was 624 outside the normalization range. These cases are easily identifiable and could 625 therefore be processed using other approaches if needed. For the remaining 626 16 patients, plausible diffusion coefficients (between 141 and  $582 \text{ mm}^2/\text{s}$  for 627  $c_{Myo}$ , and between 678 and 2769 mm<sup>2</sup>/s for  $c_{LV}$  and  $c_{RV}$ ) were estimated. 628 Table 10 reports the average absolute errors between clinical ground truth 629 and ECG features obtained with forward model computations using the es-630 timated diffusion parameters for the remaining 16 patients. Figure 16 shows 631 the obtained error distributions, indicating that the simulated QRS dura-632 tion was on average 18 ms too long, while the electrical axis was closely 633 centered (average bias:  $3.1^{\circ}$ ) around the measurements, both values being 634

within clinical acceptability as defined prior to the study. Finally, Fig. 17 illustrates the simulated ECG chest leads overlaid on the measured ones for one representative patient.

Table 10: Average absolute ECG feature space errors for ECG computations with regression-predicted diffusivity parameters from clinically measured ECG features.

Diffusivity	$\Delta_{QRS} \ [ms]$	$\alpha   [ m deg]$
Regression-based Prediction	$18.7 \pm 16.2$	$6.5 \pm 7.6$



Figure 16: QRS duration and electrical axis error distributions for ECG simulations diffusivity parameters estimated from clinical ground truth measurements.

# <sup>638</sup> 4. Discussion and Conclusions

#### 639 4.1. Discussion

In this manuscript, we described a data-driven method for the personalization of a cardiac electrophysiology model from ECG features. As supported by reported results, the method achieves the same accuracy as traditional inverse problem algorithm with the advantage of 1) being computationally efficient (evaluation of a polynomial function is almost immediate) and 2) providing an estimate of parameter uncertainty, an additional variable that could be employed clinically.

While the anatomical model was obtained from cine MR images in this 647 work, the approach is easily applicable to other modalities such as computed 648 tomography (CT) or echocardiography (Zheng et al., 2008), provided the full 649 bi-ventricular myocardium is visible. In this study, a synthetic model of fiber 650 architecture was employed. Nevertheless, our framework is ready to incor-651 porate diffusion tensor imaging (DTI) data and thus remove this additional 652 uncertainty. It will be important to quantify the difference between ex-vivo 653 measurements (Helm et al., 2005) and in-vivo measurements in order to learn 654



Figure 17: Clinically measured, and computed ECG chest leads after model estimation of cardiac diffusivity for one representative patient, showing promising agreement during cardiac depolarization, which we focused on in this study. For this case, obtained estimation errors amounted to 1.6 ms for the QRS duration and  $0.5^{\circ}$  for the electrical axis.

a data-driven model for patient-specific diffusivity predictions. Furthermore, 655 it would be interesting to investigate how the variances of the atlas gener-656 ated by Lombaert et al. (2012) can be used for a sensitivity analysis and 657 uncertainty estimation in our model. In any case, the tremendous progress 658 achieved in in-vivo DTI will soon enable to use patient-specific data (Tous-659 saint et al., 2013). For the mapping of potentials onto the body surface, an 660 atlas of torso geometry was employed as 3D images of patient upper body 661 were not available. The manual registration of the atlas against 2D contours 662 outlined in the three sagittal, axial and longitudinal planes was performed by 663 an expert. It should be noted however that slight mis-registration would not 664 have impacted the performance of the algorithm as ECG leads are known to 665 be tolerant with respect to electrode placement (Sheppard et al., 2011). In 666 addition, the overall methodology would work on more detailed atlases based 667 on populations or on the patient-specific geometry directly. 668

This work was performed using a mono-domain EP model with the ac-669 tion potential model proposed by Mitchell and Schaeffer (2003). It has been 670 shown by Boulakia et al. (2010) and Plank et al. (2013) that anisotropic 671 mono-domain models are able to preserve the essential ECG features, which 672 were used for the subsequent personalization, when compared to orthotropic 673 bi-domain models. It should be noted that the LBM-EP method can use any 674 mono-domain model like for instance the TenTusscher model. Furthermore, 675 the data-driven personalization algorithm is generic by design and can be 676 applied to any cell model, or any bi-domain or graph-based/Eikonal model 677 of cardiac electrophysiology, as far as the database can be computed in a 678 realistic amount of time. Moreover, our focus on cardiac depolarization al-679 lowed decoupling the estimation of electrical diffusivity from repolarization 680 EP parameters and assuming a static heart. Unlike during the ST-T period, 681 the deformation of the myocardium due to cardiac motion has been shown 682 to be marginal during the QRS complex (Jiang et al., 2009). Also, the influ-683 ence of the action potential duration on the ECG features used to estimate 684 electrical diffusion (QRS duration and electrical axis) was confirmed to be 685 negligible (Fig. 10). 686

In this study, the mapping of extracellular potentials from the heart to the 687 body surface relies on a boundary element approach. For our simulations, we 688 applied constant homogeneous isotropic conductivity in the torso, including 689 the chest cavity, thoracic cage, muscle tissue and skin. Minor sensitivity 690 on body surface potentials for different organ conductivities as observed in 691 the computational study by Geneser et al. (2008) justifies this assumption 692 for our purposes. We verified our BEM implementation with analytically 693 defined test cases and showed convergence with increasing mesh resolution 694 and order of Gaussian quadrature for the evaluation of integrals without 695 available closed-form formula (Fig. 8), suggesting that the uncertainty in 696 diffusivity parameters is not related to BEM numerical approximations but 697 rather intrinsic to the inverse ECG problem. 698

From the ECG traces, two features were derived, namely the QRS du-699 ration  $\Delta_{QRS}$  and the electrical axis  $\alpha$ . Provided the choice of appropriate 700 electrode positions, experiments have shown that the electrical axis can be 701 computed on either the epicardium mesh or the torso mesh. A thorough eval-702 uation of the influence of varying conductivities in the torso due to different 703 organs (Geneser et al., 2008) onto the electrical axis measured at the torso 704 would be interesting for future works. Regarding the computation of the QRS 705 duration, we observed in our experiments a close match between the dura-706

tion computed directly using the LBM-EP results as described in Sec. 2.2.3 707 and the width of the QRS complex in the computed ECG leads. This work 708 is based on the assumption that these two features are sufficient to explain 709 various EP patterns. In addition, the selected features are commonly avail-710 able from clinical ECG traces and clinical reports, and would therefore allow 711 the estimation of diffusion coefficients with little effort in clinical routine. 712 The proposed method could therefore constitute a first model personaliza-713 tion step when no dense EP data is available, and would also provide more 714 accurate results compared to generic parameters, as suggested by our exper-715 iments. Yet, the proposed framework is generic and allows the integration 716 of an arbitrary number of features. If invasive or BSM measurements are 717 available, more regional features could be used to facilitate the estimation of 718 model parameters. 719

The use of QRS duration and electrical axis was further supported by 720 our parameter analysis. It has been shown in this paper that QRS dura-721 tion varies linearly with myocardial diffusion  $(c_{Myo})$ , while the electrical axis 722 varies linearly with increasing left endocardial diffusion  $(c_{LV})$  when the right 723 endocardial diffusion  $(c_{RV})$  is decreased at the same time such that their sum 724 is constant. However, these relationships are not decoupled as each diffusion 725 parameter has influence on both features, which contributes to the quanti-726 fied uncertainty of the inverse problem: different diffusivity configurations 727 can lead to the same ECG parameters. In particular, we showed that left 728 and right endocardial diffusivity are subject to broad variations, especially 729 in the region of healthy EP (Fig. 12). Clearly demonstrating the ill-posed 730 nature of the inverse ECG problem under the assumptions of our EP model, 731 the reported uncertainties constitute, to the best of our knowledge, the first 732 estimates of the optimal bound in accuracy for any inverse problem to esti-733 mate myocardium diffusion that rely on  $\Delta_{ORS}$  and  $\alpha$  only. We expect the 734 uncertainty to decrease as more clinical features are considered. This study 735 is thus subject to future work. 736

The main contribution of this work is our novel data-driven framework 737 to estimate cardiac diffusion parameters. Instead of solving the inverse ECG 738 problem numerically, we proposed to employ statistical learning, and in par-730 ticular multivariate polynomial regression, to learn the relationship between 740 ECG features and diffusivity. Compared to other statistical approaches, poly-741 nomial regression has the advantage that the regression coefficients can be 742 given and the estimation of diffusion parameters is possible using a closed-743 form formula. The personalization formula can therefore be shared between 744

research groups. Error distributions obtained using multivariate adaptive regression splines (MARS) and Gradient Boosting were, as reported in Tab. 8, similar to those obtained by polynomial regression. An evaluation of the required polynomial degree revealed that the model starts over-fitting at degree 4 (Fig. 13). We therefore use cubic multivariate regression and report the final coefficients in Tab. 6.

A key aspect of the approach is the model-based normalization of EP fea-751 tures to indirectly incorporate geometric information in the statistical model. 752 The strategy consists in scouting the space of  $\Delta_{ORS}$  and  $\alpha$  for a given patient 753 by running three forward simulations with diffusion parameters relating to 754 healthy EP, and left and right bundle branch block scenarios. As a result, 755 although not directly based on anatomical or physiological features such as 756 heart size or strength of myocardial contraction, we were able to show that 757 the normalization scheme compensates for patient geometry and significantly 758 improves prediction results (Tab. 7). For an unseen patient, three forward 759 model runs are needed (computed in about 10 seconds using LBM-EP), which 760 is still acceptable in a clinical setting but also far less than in conventional 761 inverse-problem algorithms, which require often numerous model evaluations 762 to converge. 763

As expected, the regressed surfaces of the three diffusivities trained from 764 a database of 9,500 simulations (Fig. 14) showed dependance of the QRS du-765 ration on all three diffusion parameters. For right axis deviations, the right 766 endocardial diffusion was consistently lower than the left one, and vice versa. 767 However, all three polynomials may yield negative, non-physical diffusion co-768 efficients for certain parameter combinations. As not reported, preliminary 769 experiments have shown, regressing the logarithm of the diffusion coefficients 770  $(\log c \text{ instead of } c \text{ directly})$  could help in overcoming this limitation. Future 771 work will therefore consider the investigation of regression methods with 772 better generalization on unseen data. Prediction errors in diffusion space 773 (leave-one-patient-out) were in the range of the estimated intrinsic uncer-774 tainty of the problem, especially for left and right endocardial diffusivity 775 (Tab. 7). Only for myocardial diffusivity, the prediction was slightly worse 776 (24% of total std. dev.) compared to the uncertainty (13% of total std. dev.) 777 One reason for this result could be the dependence of myocardial diffusivity 778 on both ECG features for diffusions of less than  $400 \text{ mm}^2/\text{s}$  (Fig 9a). 779

Yet, prediction errors in the ECG feature space for the synthetic dataset obtained by running forward simulations using the calibrated EP model were significantly better than those obtained by using nominal diffusion parameters from literature (Tab. 8). Furthermore, a comparison with an estimation algorithm based on the gradient-free inverse problem method NEWUOA showed that our method performs better (prediction errors in QRS duration and electrical axis were 54% and 80% higher, respectively) while being immediate to compute and providing uncertainty estimates. Altogether, the application of the proposed data-driven framework may thus be preferential to traditional approaches when only ECG data are available.

Finally, an evaluation with clinically measured ECG features was con-790 ducted on all 19 patient cases. The model was successfully fitted in 16 cases 791 out of 19 (84%), with promising prediction errors of  $18.7 \pm 16.2$  ms for  $\Delta_{ORS}$ 792 and  $6.5 \pm 7.6^{\circ}$  for  $\alpha$ , within clinical acceptability. The model could not be 793 personalized in three cases as the measured electrical axis was outside the 794 normalization range. Similar results were obtained in not reported, prelim-795 inary experiments on regressing the logarithm of the diffusion coefficients, 796 as mentioned above. However, the cases that failed could not be recovered 797 either, suggesting a more intrinsic difference between patient physiology and 798 our model, for instance a potential line of blocks, etc. A more realistic in-799 corporation of geometrical features might improve the success rate and avoid 800 such inconsistencies. Further investigation is needed for an optimal regression 801 model. 802

The results previously published by Zettinig et al. (2013a) showed smaller overall errors in  $\Delta_{QRS}$  and  $\alpha$ , potentially because 1) fewer patients with a smaller range of anatomical and physiological variation were used, and 2) the original framework (multivariate polynomial regression of degree 7) might have been overfitting as shown in Fig. 13. In addition, estimation errors without normalization were better than previously reported, possibly due to the updated torso registration technique.

#### 810 4.2. Perspectives

In this work, only the cardiac anatomy model was generated based on pa-811 tient data. Despite the contour-based registration, torso geometry was based 812 on an atlas and does not entirely reflect patient-specific anatomy. Also the 813 boundary element mapping of potentials assumes constant conductivity, ne-814 glecting thoracic organs and different tissue types. Future work could thus 815 improve the anatomical model by incorporating more imaging data from the 816 heart to the body surface and model the different tissues in the torso in-817 dependently (lung, bones, muscles). Furthermore, instead of the proposed 818 normalization technique, explicitly integrating geometrical features directly 819

into the regression framework could potentially better cope with anatomical 820 variability. In addition, the framework could be extended by using an elec-821 tromechanical model of the heart (Zettinig et al., 2013b) to cope with the 822 influence of cardiac motion on the ECG. A comprehensive study is needed 823 though to quantify that aspect and properly consider it into the estimation 824 There are indeed no studies available to clarify how much moprocess. 825 tion happens during the fast depolarization of the heart, to the best of our 826 knowledge. Even though, a dynamic model would have great benefit when 827 estimated cardiac repolarization features like action potential duration. 828

As the uncertainty in diffusion parameters given QRS duration and electrical axis is high, the integration of more ECG features could improve estimation precision and increase the success rate of the approach. Similarly, more ECG features may potentially allow the estimation of more local diffusion coefficients, rendering the estimation of regional diffusivity distributions possible.

Finally, refining the forward model, in particular regarding cardiac electrophysiology, might lead to future extensions of our framework. More complex biophysical bi-domain models, integration of atrial geometry, more refined activation patterns, and coupling with mechanical models could potentially increase the predictive power of the framework and are subject to future work.

#### 841 4.3. Conclusion

We have shown in this paper that the estimation of patient-specific car-842 diac diffusion parameters from standard 12-lead ECG measurements using 843 machine learning techniques is possible, up to the intrinsic uncertainty of 844 the problem. Based on QRS duration and electrical axis as ECG features, 845 a data-driven regression model was trained and used to predict diffusivity 846 parameters for left and right endocardium (mimicking the fast conducting 847 Purkinje system), and the bulk myocardium tissue. Under the assumptions 848 of our forward model, the prediction errors were in the range of the under-849 lying uncertainty in diffusivity, which we empirically quantified for the first 850 time to the best of our knowledge. We evaluated the framework both on the 851 synthetic dataset and on clinical measurements using a leave-one-patient-852 out cross-validation and computed the error in ECG feature space using 853 forward simulations with estimated diffusion parameters. Significant im-854 provement with respect to nominal diffusivity values, which relate to healthy 855 electrophysiology, were obtained. We also conducted a comparison with a 856

NEWUOA-based personalization approach, finding overall superior predictive power. Therefore, our framework can provide good preliminary personalization, prior to more refined estimation if invasive or BSM measurements
are available.

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